

041304 14230 U.S. PTO

PATENT

Docket No. 5000-5167

Customer No. 27123

Express Mail Label No. EV 357795916 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

22390 10/823199 041304

Applicant(s): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE; Tetsuji YAMAGUCHI and Jiro YAMASHITA

Serial No.: TBA Group Art Unit: TBA

Filed: Concurrently Herewith Examiner: TBA

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

Sir:
Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; and Hitotoshi MURASE;
c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI
2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan
Tetsuji YAMAGUCHI
Residing at 27-17, Ushijima, Kaisei-machi, Ashigarakami-gun, Kanagawa-ken
258-0022 Japan
and Jiro YAMASHITA
Residing at 521-203, Nobusawa, Kaisei-machi, Ashigarakami-gun, Kanagawa-ken
258-0026 Japan

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

Enclosed are:

- [X] 21 page(s) of specification, 1 page(s) of Abstract, 3 page(s) of claims
[X] 1 sheets of drawing [X] formal (Figures 1-2) [] informal

☐ ___ page(s) of Declaration and Power of Attorney

- ☐ Unsigned
- ☐ Newly Executed
- ☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☒ Related Case Statement under 37 C.F.R. § 1.98(a)(2)(iii)

☐ A copy of related pending U.S. Application(s) Serial No(s): _____, filed _____, respectively, is attached hereto.

☒ A copy of related pending U.S. Application(s) entitled, COMPRESSOR, filed April 13, 2004 to inventor(s) Akio SAIKI, Noriyuki SHINTOKU, Toshihisa SHIMO, Noriaki BABA, and Hitotoshi MURASE, respectively, is attached hereto.

☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.

☐ Microfiche Computer Program (Appendix)

☐ _____ page(s) of Sequence Listing

- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ Claim for Priority (Claim to Convention Priority) is made to Japanese Patent Application No. 2003-109597 filed on April 14, 2003.

☒ A Certified copy of the Priority Document will be submitted at a later date.

☐ English translation documents

☒ Information Disclosure Statement

☒ Copies of the cited references, including Form PTO-1449 are enclosed.

☐ Copy of PTO-1449 filed in parent application serial No. _____.

☐ Preliminary Amendment

☒ Return receipt postcard (MPEP 503)

☐ Assignment Papers (assignment cover sheet and assignment documents)

☐ A check in the amount of \$ 40.00 for recording the Assignment is enclosed.

☐ Assignment papers filed in parent application Serial No. _____.

- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____
_____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee \$ 770.00
Total Claims	14	-20 =	0	x \$ 18.00	\$ 0.00
Independent Claims	2	- 3 =	0	x \$ 86.00	\$ 0.00
Multiple Dependent Claims			Additional Fee =		
	<input type="checkbox"/> yes		Add'l Fee =	\$ 290.00	\$ 0.00
	<input checked="" type="checkbox"/> no			NONE	

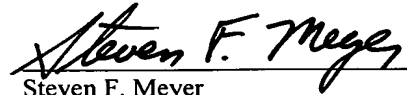
Total: \$770.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.
- ☒ Check in the amount of \$770.00 is enclosed in payment of the application filing fee.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5167.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Dated: April 13, 2004


Steven F. Meyer
Registration No. 35, 613

CORRESPONDENCE ADDRESS:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE;
Tetsuji YAMAGUCHI and Jiro YAMASHITA

Group Art Unit: TBA

Serial No.: TBA

Examiner: TBA

Filed: Herewith

For: COATING COMPOSITION FOR USE IN SLIDING PARTS

TRANSMITTAL OF RELATED PENDING APPLICATIONS 37 C.F.R. §1.98(a)2(iii)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Related Case Submission listing related pending applications of the above-identified application, pursuant to 37 C.F.R. §1.98(a)2(iii).

- ☒ A copy of each cited pending application is attached.
- ☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5167. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 13, 2004

By: Steven F. Meyer
Steven F. Meyer
Registration No. 35,613

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RELATED CASE SUBMISSION		Attorney Docket: 5000-5167		Serial No.: TBA		
		Applicant: Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE; Tetsuji YAMAGUCHI and Jiro YAMASHITA				
		Filing Date: Herewith		Group Art Unit: TBA		
RELATED PENDING APPLICATIONS						
Examiner Initial		Serial No.	Filing Date	Inventor(s)	Patent No. (if applicable)	Issue Date (if applicable)
	1	Atty Docket 5000-5166	April 13, 2004	Akio SAIKI; Noriyuki SHINTOKU; Noriaki BABA; Toshihisa SHIMO; Hitotoshi MURASE; Tetsuji YAMAGUCHI and Jiro YAMASHITA		
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